

IF YOU HAVE TO CLIMB
THE COMPLIANCE MOUNTAIN...
DO IT THE EASY WAY.

iComply – Freedom and flexibility from the world leader in compliance auditing



THE iCOMPLY TRILOGY

SERVER, LAPTOP AND PDA



How you benefit from iAppraise

- Complete mobility lets your people audit anywhere, anytime
- Easy, accurate and efficient data collection makes your people happier and more productive
- Boosted collaboration means reduced costs
- Standardised approach leads to more consistent, objective results
- Information is more accessible to management, and security is enhanced
- Completely customisable features mean you can use the application for all your compliance needs

"In 2005, iComply won the [Microsoft] Technology Innovation partner of the year and ISV Solution of the year. These awards are presented to top partners who are developing and implementing innovative, technical solutions for their client base..."

Norbert Haehnel, Director, Development & Platform Group, Microsoft



THE WAKE UP CALL

The aftermath of the Ansett collapse, the Enron debacle, and September 11 has changed the compliance landscape for ever.

There is a growing need for public and private sector organisations to implement systems for corporate governance, regulatory and standards compliance, and risk management. Governments have reinforced this with corporate governance regulations.

The shift has exposed organisational weaknesses in compliance monitoring. Most organisations use inefficient, labour-intensive paper auditing methods, and therefore seek a more effective way to perform audits, inspections and other compliance monitoring activities.

Traditional manual and paper based systems are manifestly inadequate. And the gulf between cost-conscious management and resource-strapped auditors continues to widen.

iComply has done for auditing what wheels did for wagons

iComply is a Melbourne based, globally focused Compliance Software and Services Business.

iComply has developed a suite of software products designed to assist governments and corporations manage their audit processes more efficiently.

In three short years since iComply was formed, the company has achieved Microsoft Gold Certified Partner status, has won the 2005 Microsoft Australia and New Zealand (ANZ) ISV Solution of the Year, has been selected as one of five finalists in Microsoft's 2005 Worldwide Mobility Solution of the Year competition in the category of "Innovation".

In addition, iComply won the ABBI (Australian Better Business Insurance) Best Growth Business award in 2005.

Key to iComply's success, is its innovative approach, focus on mobility solutions, strong customer service and the combination of expert professional knowledge in the area of compliance and technical excellence.

Inject vitamin i into your compliance management:

- instant results
- international reach
- innovation
- ingenuity
- integrity

Master complex audit scheduling with ease



Australia & New Zealand 2005 ISV Solution of the year WINNER



iAppraise – Award Winning Compliance Solution

Among its suite of software products is the revolutionary iAppraise. iAppraise is an innovative, risk-based, on-line audit and survey management tool that enables any organization to develop and schedule its entire auditing need, perform audits on-line and carry out issue-tracking, reporting and post audit analysis.

iAppraise is a three tiered solution:

iAppraise Server: a web-based administration, management, and auditing console.

iAppraise Lite: a lap-top-based windows application, providing disconnected functionality for auditors to enable auditing in the field.

iAppraise Mobile: a handheld pc application, providing auditors with the tools they need at their point of work



Master complex audit scheduling with ease



Australia & New Zealand 2005 ISV Solution of the year WINNER



The powerful, interactive calendar provides

- Year, month, week, work week, and day views with easy navigation
- Side by side calendars provide schedules for each resource
- Filtered views – you choose the items you want to see – auditors, status, regions, entities, and more
- Auto-schedule audits for any period, and for the entities you select
- Unscheduled audits – add new audits and appointments at your convenience
- Colour-coded items – indicate type and status of assets and activities

Intelligent auditor assignment

Let iAppraise do the hard work of finding the right auditor for the job.

Quickly find auditors who are:

- available at the date and time of the audit
- located in the same region as the entity under audit
- qualified to conduct the required audit
- accredited to audit the relevant industry sector.

Assign and confirm the auditor in one easy step



Flexible, interactive workpapers may be generated for ANY KIND of audit or inspection

- Quickly navigate to any part of the workpaper
- Filter the workpaper for unanswered questions or deficiencies, and use search text to quickly find the items you are looking for
- Answer questions with a mouse click
- Use configurable standard text to provide observations and comments for every audit question
- Duplicate sections for sample-based audits
- Export deficiencies and audit findings to automate report generation.

Auditing has never been more efficient

Get results immediately and save reporting time and effort

Audit results are updated as you work and are available instantly

At the touch of a button, you can review:

- Accumulated audit scores, risk level scores, percentage results, corrective actions raised and their status, and the automated audit outcome.
- Images, files, and scanned documents stored as evidence for the audit
- Section and theme based audit statistics
- Findings and corrective actions exported from the workpaper



- Executive summary and recommendations
- Peer or management review results
- Auditor timesheets giving a breakdown of all times spent on auditing activities
- On-screen, exportable audit reports

Engage your customers, clients and other stakeholders in continuous improvement.

This innovative, fully automated, license-free web page lets users enter details of how they have resolved problems raised during the audit process.

Audit deficiencies are notified by email. An embedded web link provides a secure, one-click access to the actual deficiency record held in the database. Details of investigations into the problem, and remedial actions implemented, may be recorded and saved.

Now, more than ever, you can start to get productive payback and continuous improvement from your monitoring activities.

Using the program's built in notifications module, outstanding deficiencies are automatically escalated if unresolved.





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Fully interactive audit checklist, at your fingertips

Single or multi choice responses

Even text entry

Deficiency

Standard text

Reference material

Filter

Observations and comments



Easy navigation

The technology at a glance

| | Server | Lite | Mobile |
|------------------|---|--|--|
| Operating System | Windows 2003 Server | Windows XP | Windows Mobile 2003 or later |
| Framework | .NET | .NET | .NET Compact |
| Web Server | ISS 6.0 | N/A | N/A |
| Database | SQL Server 2000, sp4 | MSDE 2000, sp4 | SQL CE 2.0 |
| Replication | SQL Merge Replication | SQL Merge Replication | SQL CE Merge Replication |
| Hardware | Standard server hardware Min 2Ghz 2GB RAM | Standard laptop Min 2Ghz 512MB RAM | Standard PDA Min 400Mhz 65MB RAM |
| Storage cost | 200MB | 100MB | 5MB |

PRAISE FOR iAPPRAISE



Coles Myer Ltd

"... CML have more than 700 supermarkets across Australia. There is a requirement to conduct a minimum of one "Store Compliance Audit" per year per store where there is a list of audit questions that need to be answered ..."

"Prior to signing the contract we did a check for other vendors that had a similar solution, but were unable to find any that were as good a fit or advanced as the iAppraise product..."

"In the three years CML has been dealing with the team at iComply, we have found them to be excellent to work with. They have invested a huge amount of time and effort to win the contract with Coles Myer and have been able to overcome all the hurdles that dealing with a large organization produces..."

John Bryce, Business Process Manager,
Coles Myer Information Technology Group.

Coca Cola Amatil

"...iComply were selected for this project [a national, external audit of its OHSMS to AS4801] in response to a number of key factors -

1. their ability to complete the auditing process within each state and business unit within very tight timeframes
2. their professional client service approach and auditing capabilities; and
3. their reasonable consultancy fees.

"... The return on investment to date from this project has been exceptional with the endorsement of additional OHS functional resources and restructure, a significant shift in focus towards workplace health and safety by the senior management team, and the development of a clear and accountable OHS strategy for the future..."

Jeremy Knowles,
National OHS Manager, Coca-Cola Amatil

Microsoft

"iComply is an audit consultancy & software development house, specialising in performance assessment and compliance management solutions. iComply's software products enable any type of audit, performance assessment activity or survey to be set up and conducted on screen, and if required, via the web, with users having complete control over audit structure, formation, security and content. iComply is an international governance and best practice visibility partner to some of the world's leading organisations and Government Authorities..."

"In 2005, iComply won the Technology Innovation partner of the year and ISV Solution of the year. These awards are presented to top partners who are developing and implementing innovative, technical solutions for their client base..."

"iAppraise is packed with powerful features like fully user customisable audit work papers, automatic notifications, automatic scheduling..."

"iComply is in an ideal position to exploit this technology with their expert technical know how in terms of compliance and the application. With over 20 years of experience in the industry, they have an insider's view to the issues and problems faced by organizations today..."

Norbert Haehnel, Director,
Development & Platform Group

Alcohol and Gaming Commission of Ontario

"... We needed an audit management tool that would enable our inspectors to conduct their inspections in a consistent and efficient manner in the field..."

"... We wanted a solution that would be cost effective and one that could be implemented in a short period of time..."

"... After a very quick review of the software on iComply's website and conversations with the Director, we determined it met all of our requirements such as cost, time to market, and inspections that could be conducted in the field using Hand Held Devices..."

"... We were extremely pleased with the product, support and the knowledge of the staff at iComply during the acquisition, planning, implementation phases and now in their ongoing support of the product."

"In spite of our geography, we are able to conduct business in an efficient and timely way and, maintaining our ongoing relationship with iComply."

Jean Persaud, Manager, Business Systems Development & Support, Alcohol & Gaming Commission of Ontario.

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